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Customer Number



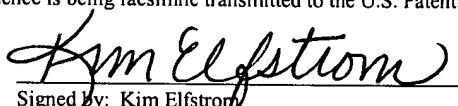
Patent  
Case No.: 55080US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: GARDINER, MARK E.  
Application No.: 09/415471      Group Art Unit: 1745  
Filed: October 8, 1999      Examiner: Sang H. Nguyen  
Title: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL  
STRUCTURES

**COMMUNICATION AFTER NOTICE OF ALLOWANCE**

Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<u>CERTIFICATE OF TRANSMISSION</u>	
To Fax No.: 703-872-9306	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office on:	
July 8, 2004	
Date	Signed by: Kim Elfstrom

Dear Sir:


The undersigned respectfully requests that the Examiner return the PTO-1449 forms included with the Information Disclosure Statement dated May 4, 2001, acknowledging consideration of the references listed thereon. Copies of the forms are enclosed for the Examiner's convenience.

The issue fee due on July 9, 2004, and is being paid today, July 8, 2004.

Respectfully submitted,

July 8, 2004  
Date

By:

  
Stephen W. Buckingham, Reg. No.: 30,035  
Telephone No.: (651) 733-3379

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Gardiner et al.

Group Art Unit: 1745

Serial No.: 09/415,471

Filed: October 8, 2001

Examiner: Unknown

For: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL STRUCTURES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

May 4, 2001

Date

Signature

*Linda D. Schumacher*

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, enclosed please find a completed form PTO-1449 citing references submitted for consideration during examination of the above-referenced patent application. A copy of each of the references cited therein is also enclosed.

Under 37 C.F.R. § 1.97(e)(1), I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

A copy of a Search Report from a counterpart PCT application is enclosed.

It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 in order to indicate that each of the references listed thereon has been considered in connection with the present application.

Respectfully submitted,

By

*Stephen W. Buckingham*  
Stephen W. Buckingham

Registration Number 30,035	Telephone Number 651-733-3379
Date May 4, 2001	

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833

OMB No. 0651-0011

**INFORMATION  
DISCLOSURE  
STATEMENT**

**Atty. Docket No.: 55080USA1A.002**

Serial No.: 09/415,471

**Applicant(s): GARDINER ET AL.**

**Filing Date: OCTOBER 8, 1999**

Group: 1745

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

JAPANESE DOCUMENTS								
		Document Number	Date of Publication	Country	Class	SubClass	Translation	
							Yes	No
		JP09145933	06/06/97	JAPAN				
		JP11202135	07/30/99	JAPAN				Abstract
		JP11250714	09/17/99	JAPAN				Abstract
		JP07159622	06/23/95	JAPAN				Abstract
OTHER DOCUMENTS								

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers,									
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EXAMINER

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820

Patent and Trademark Office, U.S. Department of Commerce

(Also form PTO-1449)

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Application Of: GARDINER ET AL.

Docket No.: 55080USA1A.002

Serial No.: 09/415,471

Title: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL  
STRUCTURES

Pages of Specification (including Claims and Abstract):

No. of Claims:

Sheets of Drawings:

☐ Declaration

☐ Assign.

☒ Certif. of Mailing

Amount charged to Deposit Account: \$

stract

Attorney (initials): SWB/lis

Date: MAY 4, 2001

Express Mail No.:

Enclosures: SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT, 1449 FORM (1 PAGE) AND POST CARD

Application Of: GARDINER ET AL.

Docket No.: 55080USA1A.002

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